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| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | Docket No. 12016-0004 |
|---|--|----------|--------------------------|
| In Re Application Of: BEAVERS | | | |
| Serial No. 10/080,574 | Filing Date MAY 22 2002 02/23/2002 | Examiner | Group Art Unit 2122 |
| Title: SYSTEM AND METHOD FOR TRACKING AND FILTERING ALERTS IN AN ENTERPRISE AND GENERATING ALERT INDICATIONS FOR ANALYSIS | | | |
| Address to: Assistant Commissioner for Patents Washington, D.C. 20231 | | | |
| 37 CFR 1.97(b) | | | |
| 1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. | | | |
| 37 CFR 1.97(c) | | | |
| 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | |
| <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); | | | |
| OR | | | |
| <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). | | | |

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
12016-0004

In Re Application: **BEAVERS**

Serial No.

10/080,574

Filing Date

02/25/2002

Examiner

Group Art Unit

2122

**SYSTEM AND METHOD FOR TRACKING AND FILTERING ALERTS IN AN ENTERPRISE AND
GENERATING ALERT INDICATIONS FOR ANALYSIS**

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1088 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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- ☒ Charge any additional fee required.

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Dated: *May 22, 2002*

Christopher W. Brody
Signature

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CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

12016-000

Application Number

10/080,574

Applicant(s)

BEAVERS

Filing Date

02/25/2002

Group Art Unit

2122

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|------------|-----------------|-------|----------|-------------------------------|
| | | 6,134,664 | 10/17/2000 | WALKER | | | |
| | | 6,212,649 | 04/03/2001 | YALOWITZ et al. | | | |
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.